



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/814,261	03/21/2001	3724	710	625.0006USQ	6	11	1

CONFIRMATION NO. 5581

## FILING RECEIPT



\*OC000000006018963\*

CHARLES N.J. RUGGIERO, ESQ.  
OHLANDT, GREELEY, RUGGIERO & PERLE, L.L.P.  
10TH Floor  
ONE LANDMARK SQUARE  
STAMFORD, CT 06901-2682



Date Mailed: 04/30/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Norbert Marocco, Woodbridge, CANADA;

## Assignment For Published Patent Application

Shad-O-Matic Limited;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/340,574 06/28/1999

## Foreign Applications

If Required, Foreign Filing License Granted 04/27/2001

Projected Publication Date: 08/09/2001

Non-Publication Request: No

Early Publication Request: No

Title

RECEIVED

02 2001  
OHLANDT, GREELEY,  
RUGGIERO & PERLE

Single plate cut down apparatus

**Preliminary Class**

083

---

**Data entry by : KANNO, MESERET**

**Team : OIPE**

**Date: 04/30/2001**



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

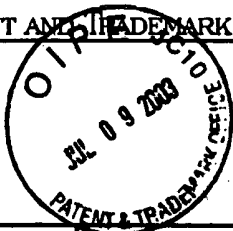


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet



CONFIRMATION NO. 5581

<b>SERIAL NUMBER</b> 09/814,261	<b>FILING DATE</b> 03/21/2001 <b>RULE</b>	<b>CLASS</b> 083	<b>GROUP ART UNIT</b> 3724	<b>ATTORNEY DOCKET NO.</b> 625.0006USQ
------------------------------------	---	---------------------	-------------------------------	---

**APPLICANTS**

Norbert Marocco, Woodbridge, CANADA;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CIP OF 09/340,574 06/28/1999

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE**

GRANTED \*\* 04/27/2001

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> CANADA	<b>SHEETS DRAWING</b> 6	<b>TOTAL CLAIMS</b> 11	<b>INDEPENDENT CLAIMS</b> 1
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

**ADDRESS**

CHARLES N.J. RUGGIERO, ESQ.  
OHLANDT, GREELEY, RUGGIERO & PERLE, L.L.P.  
10TH Floor  
ONE LANDMARK SQUARE  
STAMFORD, CT 06901-2682

**TITLE**

Single plate cut down apparatus

<b>FILING FEE RECEIVED</b> 710	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit _____

File No. 625,0006 USQ

Application No.: \_\_\_\_\_

### SERIAL NUMBER NOTIFICATION

APPLICATION BRANCH: Please stamp Serial Number assigned to this case and return card to sender.

Applicant(s): Shade-O-Matic Ltd. / Norbert Moracco

Title: 14-Line Plate Cut Down Apparatus

23 Total # of Pages of Specification

# 13 Sheets of Description

# 3 Sheets of Claims

# 1 Sheets of Abstract

# 6 Sheets of Drawings

☒ Combined Declaration & POA

☒ Assignment w/recordation sheet

\_\_\_\_\_ Priority Document

\_\_\_\_\_ Verified Statement Claiming Small Entity

☒ IDS and PTO Form 1449 (w/copies of references)

☒ Preliminary Amendment

\_\_\_\_\_ Provisional Application Cover Sheet

\_\_\_\_\_ Rule 53(b) Continuation/Divisional

☒ Other: Extension of time Request

☒ Transmittal Letter (in duplicate)

A Check drawn in the amount of \$ 820<sup>00</sup> (# \_\_\_\_\_) is also attached hereto.

Mailed March 21, 2001 ☐ COM ☒ COEM # EL7549279 US

UNITED STATES POSTAGE  
147 PB8609382  
4966 \$00.20 MAR 21 01  
0467 MAILED FROM STAMFORD CT 06901

PLACE  
STAMP  
HERE

Ohlandt, Greeley, Ruggiero & Perle  
One Landmark Square, 9th Floor  
Stamford, CT 06901-2682



**EXPRESS MAIL**  
**POST OFFICE TO ADDRESSEE**

EL 75409279 US



**ORIGIN (POSTAL USE ONLY)**

PO ZIP Code	Day of Delivery <input type="checkbox"/> Next <input type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>
Date in	Postage \$	
Mo. Day Year	<input type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	Return Receipt Fee
Time in	Military	
<input type="checkbox"/> AM <input type="checkbox"/> PM	Int'l Alpha Country Code	COD Fee Insurance Fee
Weight lbs. ozs.	Acceptance Clerk Initials	Total Postage & Fees \$
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday		

**DELIVERY (POSTAL USE ONLY)**

Delivery Attempt	Time	Employee Signature
Mo. Day	<input type="checkbox"/> AM <input type="checkbox"/> PM	
Delivery Attempt	Time	Employee Signature
Mo. Day	<input type="checkbox"/> AM <input type="checkbox"/> PM	
Delivery Date	Time	Employee Signature
Mo. Day	<input type="checkbox"/> AM <input type="checkbox"/> PM	
<input type="checkbox"/> <b>WAIVER OF SIGNATURE</b> (Domestic Only): Additional merchandise insurance is void if waiver of signature is requested. I, when delivery is to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.		
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday		Customer Signature

**EXPRESS MAIL ONLY**  
Express Mail Corporate Acct. No. **X068471.00Z**

Federal Agency Acct. No. or  
Postal Service Acct. No.

**FROM: (PLEASE PRINT)** PHONE **203 327 4500**

**TO: (PLEASE PRINT)** PHONE

**OH LANDT, GREELEY, RUGGIERO  
& PERLE  
1 LANDMARK SQ FL 10  
STAMFORD CT 06901-2620**

*Box: Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231*

**March 21 2001 625.00064500**

**PRESS HARD. FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.com**

**Mailing Label**  
Label 11-F August 2000

123/ 200

F02  
T



## RECEIPT OF TRANSMITTED DOCUMENTS

**PATENT OFFICE MAIL ROOM:** Please place the Mail Room Date Stamp on this card to indicate receipt by the U.S. PTO of the paper(s) identified below and return card to sender.

APPLICATION S.N.: 09/814,261 FILED: March 21, 2001

ATTORNEY DOCKET NO. 625-0006-1150

### TYPE OF PAPER:

Amendment	Brief	Maintenance Fee	Appeal Board's Decision
Issue Fee	Priority Document	Formal Drawings	Notice of Appeal <u>Supplem.</u>
Certified Copy	Terminal Disclaimer	Request for Recon.	References (IDS)/PTO 1449
Preliminary Amend.	Declaration	Certificate of Corr.	Request for Ext. of Time
Petition	Missing Parts	132 Declaration	Transmittal Letter (in duplicate)
Rule 53(d) Continuation (CPA)		Assignment w/rec. Cover Sheet	

Other:

A Check drawn in the amount of \$ \_\_\_\_\_ (# \_\_\_\_\_) is also attached hereto.

Mailed Aug. 28, 2002 ☒ COM ☐ COEM # \_\_\_\_\_



Ohlandt, Greeley, Ruggiero & Perle  
One Landmark Square, 9th Floor  
Stamford, CT 06901-2682





3

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/814,261	03/21/2001	Norbert Marocco	625.0006USQ

CONFIRMATION NO. 5581



\*OC000000006526981\*

CHARLES N.J. RUGGIERO, ESQ.  
OHLANDT, GREELEY, RUGGIERO & PERLE, L.L.P.  
10TH Floor  
ONE LANDMARK SQUARE  
STAMFORD, CT 06901-2682

**Title:** Single plate cut down apparatus

**Publication No.** US-2001-0017073-A1  
**Publication Date:** 08/30/2001

Date Mailed: 09/07/2001

## NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at [www.uspto.gov](http://www.uspto.gov). The direct link to access the publication is currently <http://www.uspto.gov/patft/>.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Crystal Gateway 4, Room 335, Washington, D.C. 20231, or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at [www.uspto.gov](http://www.uspto.gov) using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently <http://pair.uspto.gov/>. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at (703) 305-3028.

RECEIVED

SEP 24 2001

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

OHLANDT, GREELEY,  
RUGGIERO & PERLE, LLP